PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



	mum	tional Bureau
INTERNATIONAL APPLICATION PUBLIS	HED (NDER THE PATENT COOPERATION TREATY (PCT)
(51) International Patent Classification 6:		(11) International Publication Number: WO 99/0510
C07D 209/88, A61K 31/40	A1	(43) International Publication Date: 4 February 1999 (04.02.99
(21) International Application Number: PCT/EP (22) International Filing Date: 18 July 1998 ((30) Priority Data:	18.07.9	BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GI GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LI LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, T UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GN
97112491.2 22 July 1997 (22.07.97) (71) Applicant (for all designated States exception BOEHRINGER MANNHEIM GMBH [DE/DE]; Mannheim (DE).	pt US	
(72) Inventors; and (75) Inventors/Applicants (for US only): REINHOLZ [DE/DE]; Verl. Triebstrasse 1, D-68542 Heddeshe BEYER, Peter [DE/DE]; Im Kirchenstück 8, Neustadt (DE).	im (DI).
(74) Common Representative: BOEHRINGER MAI GMBH; Patentabteilung, D-68298 Mannheim (DE		M
(54) Title: THERMODYNAMICALLY STAB LOXY)-3-[2-(2-METHOXYPHENOXY)ETF PHARMACEUTICAL COMPOSITIONS CON	TYLAN	MODIFICATION OF 1-(4-CARBAZOL) INO)-2-PROPANOLE, PROCESS FOR ITS PREPARATION AN NG IT
(57) Abstract		
The present invention relates to a loxy)-3-[2-(2-methoxyphenoxy)ethylamino]-2-propanole forms thereof, processes for the preparation, and pharmace	new (Carveutical o	thermodynamically stable modification of 1-(4-carbazol; edilol), pharmacologically acceptable salts, or optically activompositions containing it.
		•

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	(Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		•
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WO 99/05105 PCT/EP98/04475

THERMODYNAMICALLY STABLE MODIFICATION OF

1-(4-CARBAZOLYLOXY)-3-[2-(2-METHOXYPHENOXY)ETHYLAMINO]
2-PROPANOLE, PROCESS FOR ITS PREPARATION AND

PHARMACEUTICAL COMPOSITIONS CONTAINING IT

10

15

20

25

30

Description

The present invention relates to a new thermodynamically stable modification of $(\pm)1$ -(4-carbazolyloxy)-3-[2-(2-methoxyphenoxy)ethylamino]-2-propanole (Carvedilol), pharmacologically acceptable salts or optically active forms thereof, processes for the preparation, and pharmaceutical compositions containing it.

Carvedilol, having a melting point of 114-115°C, is a compound with excellent pharmacological properties (Merck Index 11. Ed. No. 1882), known to be active in the treatment of cardiac diseases. The preparation and its use in medicine is described in EP-B-0 004 920.

Carvedilol has a chiral center and, as such, can exist either as individual stereoisomers or in racemic form. Both the racemate and stereoisomers may be obtained according to procedures well known in the art (EP-B-0127099).

It has now been discovered that Carvedilol can be isolated in two different modifications depending upon the method of preparation which are distinguishable by their infra-red Raman and X-ray powder diffraction spectra. and their melting points. The two polymorphic forms are monotropic and they are hereinafter designated as Form I and Form

II. It is desirable to prepare a therapeutic agent consisting of an unique and defined composition which has a high storage stability.

The present invention provides a thermodynamically stable crystalline form of Carvedilol substantially free of other physical forms having a melting point about 123-126°C, and an infra-red spectrum with a sharp peak at 3451 cm⁻¹, which is referred to hereinafter as Form I.

The invention also provides a process for producing this substantially pure Form I. In another embodiment of this invention, there is provided a pharmaceutical formulation containing the substantially pure Form I of Carvedilol as an active ingredient.

Finally, the present invention provides a method of using the new substantially pure form to prevent and/or treat circulatory and cardiac diseases.

15

Where reference is made in this application to Form I or Form II substantially free of other physical forms, it preferably means that at least 90% by weight of Form I or Form II is present in that modification.

Form II is the modification of Carvedilol prepared and purified according to EP-B-0 004 920.

Surprisingly it was now found that a new thermodynamically stable modification of Carvedilol (Form I) with a higher melting point is obtained when the process of manufacture is slightly altered.

The melting points of each Forms I respectively II depend upon their level of purity, consequently Form I has been found to have a melting point of about 123-126°C, Form II about 114-115°C.

25

Furtheron it has been discovered that Form I is that of being the thermodynamically stable form, which is of advantage. Therefore this thermodynamically stable form is given preference in the preparation of pharmaceutical formulations.

Pharmaceutically acceptable salts are considered to be encompassed within the compounds and the method of the present invention. The term "pharmaceutically acceptable salts" refers to salts of substantially pure Form I which are substantially non-toxic to living organism. For the conversion of Carvedilol into its pharmacologically acceptable salts, it is reacted, preferably in an organic solvent, with an equivalent amount of an inorganic or organic acid, for example hydrochloric acid, hydrobromic acid, phosphoric acid, sulphuric acid, acetic acid, citric acid, maleic acid or benzoic acid. It should be recognized that any particular anion forming a part of any salt of this invention is not critical, so long as the salt, as a whole, is pharmacologically acceptable and as long as the anion moiety does not contribute undesired qualities.

15

20

25

5

10

For the resolution of the racemates, there can be used for example, tartaric acid, malic acid, camphoric acid or camphorsulphonic acid.

According to another aspect, the invention provides a pharmaceutical composition, which comprises Form I substantially free of other physical forms and a pharmaceutical acceptable carrier or adjuvant.

The compounds of the present invention may be administered by any suitable route, preferably in the form of a pharmaceutical composition adapted to such a route and in dose effective for the treatment intended. Therapeutically effective doses of the compounds of the present invention required to prevent or arrest the progress of the medical condition are readily ascertained by one of ordinary skill in the art.

Accordingly, the invention provides a class of novel pharmaceutical compositions comprising Carvedilol of Form I of the present invention, in association with one or more non-toxic pharmaceutically acceptable carriers and/or adjuvants (collectively referred to

herein as "carrier materials") and, if desired, other active ingredients. The compounds and compositions may, for example, be administered orally, intravascularly, intraperitoneally, subcutaneously, intramuscularly or topically.

- For all administrations, the pharmaceutical composition may be in the form of, for example, a tablet, capsule, creme, ointment, gel, lotion, suspension or liquid. The pharmaceutical composition is preferably made in the form of a dosage unit containing a particular amount of the active ingredient. Examples of such dosage units are tablets or capsules. A suitable daily dose for a mammal may vary widely depending on the condition of the patient and other factors. However, a dose from about 0.01 to 100 mg/kg body weight, particularly from about 0.05 to 3 mg/kg body weight, respectively 0.01-10 mg/cm² skin, may be appropriate. The active ingredient may also be administered by injection.
- The dose regimen for treating a disease condition with the compounds and/or compositions of this invention is selected in accordance with a variety of factors, including the type, age, weight, sex and medical conditions of the patient and in accordance to the severity of the desease and thus may vary widely.
- For therapeutic purposes, the compounds of the invention are ordinarily combined with one ore more adjuvants appropriate to the indicated route of administration. If per os, the compound may be admixed with lactose, sucrose, starch powder, cellulose esters of alkanoic acids, cellulose alkyl ester, talc, stearic acid, magnesium stearat, magnesium oxide, sodium and calcium salts of phosphoric and sulphuric acids, gelatine, acacia, sodium alginate, polyvinyl-pyrrolidone and/or polyvinyl alcohol, and thus tabletted or encapsulated for convenient administration. Alternatively, the compound may be dissolved in water, polyethylene glycol, propylene glycol, ethanol, corn oil, cotton seed oil, peanut oil, sesam oil, benzyl alcohol, sodium chloride and/or various buffers. Appropriate additives for the use as ointments, cremes or gels are for example paraffine, vaseline, natural waxes, starch, cellulose, or polyethylenglycole. Other adjuvants and modes of administration are well and widely known in the pharmaceutical art.

Appropriate dosages in any given instance, of course, depend upon the nature and severity of the condition treated, the route of administration and the species of mammal involved, including its size and any individual idiosyncracies.

Representative carriers, dilutions and adjuvants include, for example, water, lactose, gelatine starch, magnesium stearate, talc, vegetable oils, gums, polyalkylene glycols, petroleum gelly, etc. The pharmaceutical compositions may be made up in a solid form, such as granules, powders or suppositories, or in liquid form, such as solutions, suspensions or emulsions. The pharmaceutical compositions may be subjected to conventional pharmaceutical adjuvants, such as preservatives, stabilizers, wetting agents, emulsifiers, buffers, etc.

As indicated, the dose administered and the treatment regimen will be dependent, for example, on the disease, the severity thereof, on the patient being treated and his response to treatment and, therefore, may be widely varied.

Characterization of Forms I and II of Carvedilol

20 Thermomicroscopy

Thermal analysis was carried out with a Kofler heating stage (Reichert, Vienna) mounted on a video-equipped Olympus microscope BH-2 or with a Kofler heating stage microscope Thermovat[®] (Reichert, Vienna); both microscopes with polarisation facility and digital thermometer.

Form II consists of heterogeneously looking rhombohedral to hexagonally shaped lamellar crystals up to a size of 120 μ m, which melt about 114-115°C, whereas Form I consists of 40 μ m large grains, respectively prisms, which melt about 123-126 °C.

25

DSC was carried out with DSC-7 (Perkin-Elmer, Norwalk, Ct., USA) equipped with cooling system CCA-7, perforated Al sample capsules (25 µl), weighed object 1.5 mg each (ultra-micro weighing scale UM 3, Mettler, CH-Greifensee, Switzerland). Nitrogen 4.0 as flushing gas (20 ml min⁻¹). Computer-aided recording of DSC signal. Calibration of temperature indication for CCA curves with melting point for water and caffeine anhydrate (melting point 236.2 °C), each with tightly sealed sample capsule. Calibration of ordinates (DSC signal) with melting heat of indium 99.999% (Perkin-Elmer, Norwalk, Ct., USA).

10

15

25

The measured melting points correspond to the ones determined thermomicroscopically. It could be estimated by the way of the measured melting heats (Form I: ΔH_f 48.2 kJ/mol; Form II ΔH_f 51.0 kJ/mol), that the crystallisate consisting of Form I is contaminated with approximately 2 to 3% of Form II, which could also be seen thermomicroscopically.

FT-IR, FT Raman spectroscopy and X-ray diffractometry

FT-IR spectroscopy was carried out with a Bruker IFS 25 FT-IR spectrometer. For the production of the KBr compacts approximately 1 mg of sample was powdered with 270 mg of KBr. The spectra were recorded in transmission mode ranging from 4000 to 600 cm⁻¹. Resolution: 2 cm⁻¹ (50 interferograms).

FT Raman spectroscopy was carried out with Bruker RFS 100 FT Raman spectrometer, equipped with a diode-pumped Nd:YAG laser (1064 nm) and a liquid nitrogen cooled highly sensitive detector. The powdered samples were pressed into small aluminium fittings, the spectra were recorded at an initial capacity of 200 mW, resolution: 4 cm⁻¹ (50 interferograms).

30 X-ray powder diffractometry was carried out with a Simens X-ray diffractometer D-5000. Diffrac/AT with θ/θ goniometer, $Cu_{K\alpha}$ -rays, nickel filter for monochromatisation,

7

rotation of sample during measurement, scintillation counter, angular range 2° to 40° (20), steps of 0.01° (20), measuring time 2 secs.

The IR spectra of both modifications show great differences in the stretching vibration range (Form I 3451 cm⁻¹; Form II 3345 cm⁻¹)(Fig. 1,2), which are caused by different hydrogen bridges. This corresponds to the Raman spectra differing only little. The biggest difference in the Raman spectra is at approximately 2942 and approximately 755 cm⁻¹ (Fig.3,4). The X-ray powder diffraction pattern of Form I has characteristic peaks occurring at $2\theta = 9.5$, 10.8, 12.0, 14.6, 19.6, 21.5, and 22.3 (Fig. 5) whereas the characteristic peaks of Form II occur at $2\theta = 5.9$, 14.9, 17.6, 18.5, and 24.4 (Fig. 6).

Process for preparing Form I Carvedilol

Example 1

15

20

30

10

Crude Carvedilol is prepared according to the procedure described in EP-B-0 004 920, in methanol. Crude Carvedilol (based on 300 g dry Carvedilol), 15 g CXA-coal and 2800 ml methanol are heated for 15 minutes under reflux in a three-neck-flask. The hot solution is filtered and washed with 300 ml hot methanol and heated under reflux again. Subsequently the solution is cooled down during half an hour to 30°C, stirred between 3 to 22 hours and cooled down slowly to 0°C in 3 ½ hours. After stirring the solution for additional two hours at 0°C the product is isolated, washed three times with 40 ml methanol and dried under vacuo at 60°C for 24 hours. 203-255 g of pure Form I are obtained and characterized as described before.

Form II can be obtained by an additional recrystallization process in isopropanol.

Example 2

A 1:1 mixture of Form I and Form II was suspended in isopropanol and agitated with a magnetic stirrer for 18 h in a tightly sealed glass cylindar. During this time the temperature was repeatedly increased and lowered between 10 and 25°C. Subsequently the

sample was filtered with a micro glass filter funnel (G3), dried and evacuated. The IR spectrum of this sample corresponds to Form I. The DSC curve does not show a peak between 114-115°C, thus this is pure Form I.

It will be understood that the specification and examples are illustrative but not limitative of the present invention and that other embodiments within the spirit and scope of the invention will suggest themselves to those skilled in the art.

9

Claims

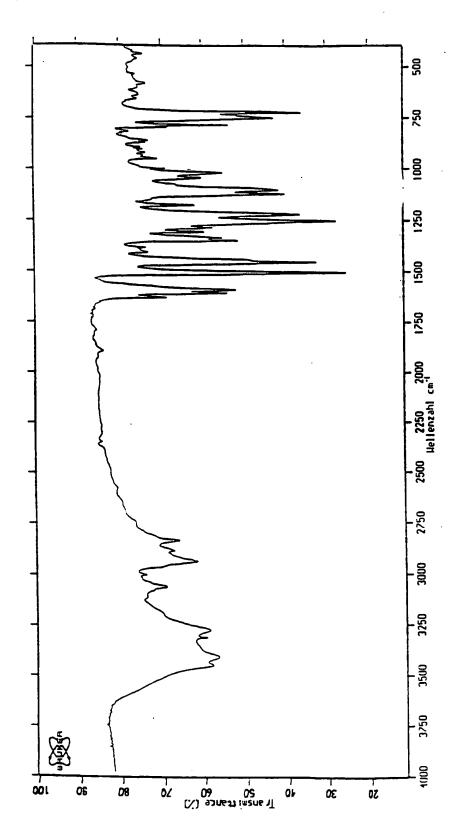
- 1. Modification of $(\pm)1$ -(4-carbazolyloxy)-3-[2-(2-methoxyphenoxy)ethylamino]-2-propanole having the following X-ray diffraction pattern obtained with a $Cu_{K\alpha}$ radiation at $2\theta = 9.5$, 10.8, 12.0, 14.5, 19.6, 21.5, 22.3, and an infrared spectrum having sharp peaks at 3451 cm⁻¹, wherein the melting point is about 123-126 °C.
- 2 Pharmacologically acceptable salts or optical active forms of the compound according to claim 1.

10

5

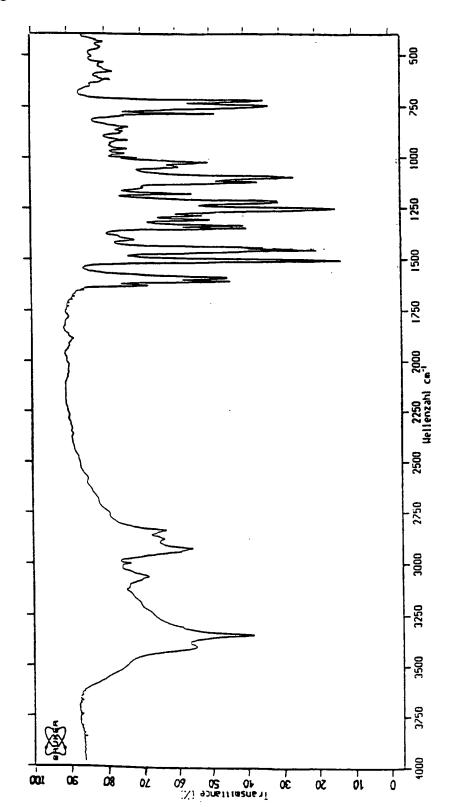
- 3. A process for preparing and isolating substantially pure form of the compound as claimed in claim 1.
- 4. The process of claim 3, wherein the rearrangement is carried out at a temperature between 25 and 35 °C for a time from 3 to 22 hours in methanol.
 - 5. The process of claim 3 or 4, wherein the higher melting carvedilol modification is recovered at 0°C from the rearrangement reaction mixture.
- 6. A pharmaceutical composition comprising a substantially pure form of the stable modification of 1-(4-carbazolyloxy)-3-[2-(2-methoxyphenoxy)ethylamino]-2-propanole as the active ingredient as claimed in claim 1 together with one or more pharmaceutical acceptable carriers or adjuvants.
- The use of a pharmaceutical composition as claimed in claim 5 for the manufacturing of a medicament for the prophylaxis or treatment of cardiac diseases.

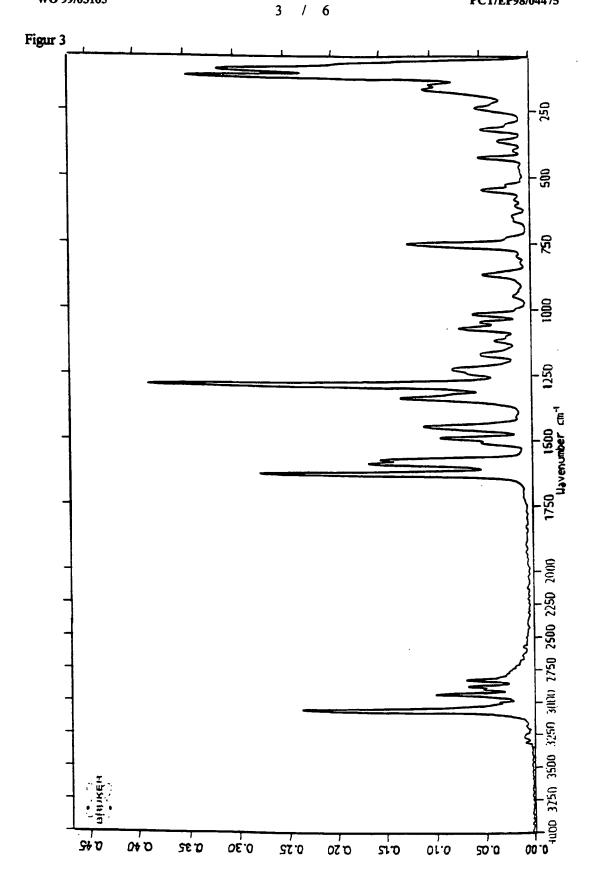
Figur 1.



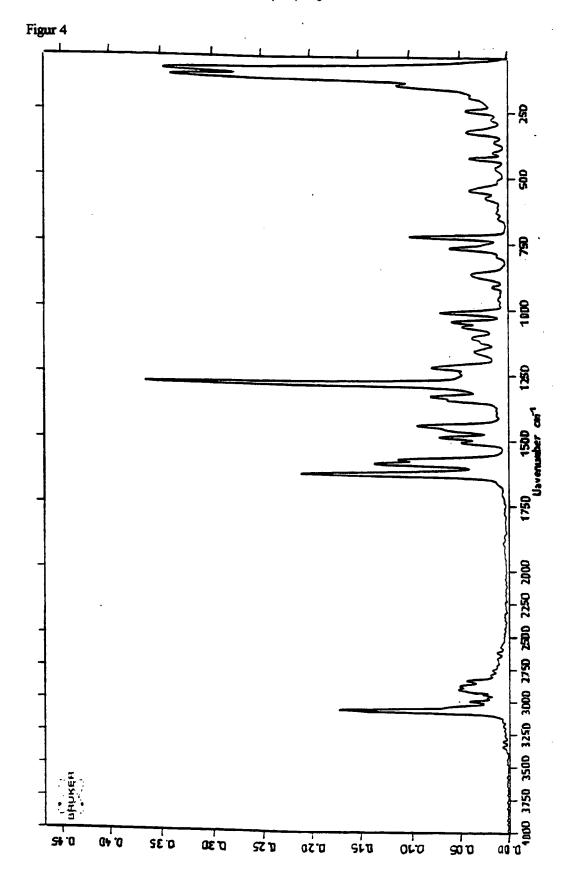
SUBSTITUTE SHEET (RULE 26)

Figur 2



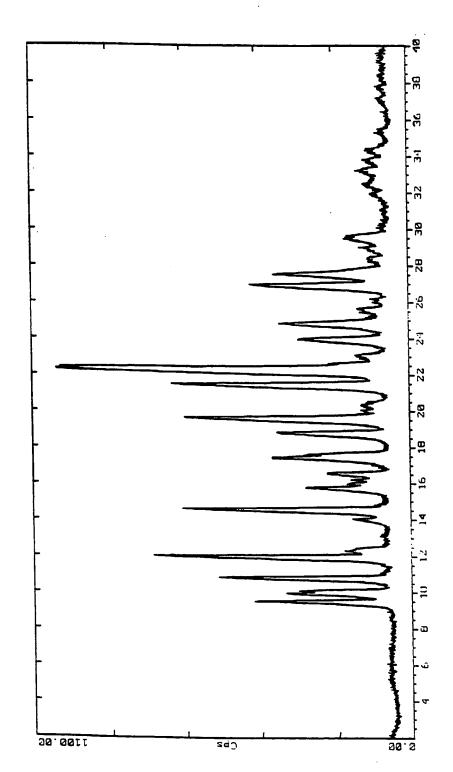


SUBSTITUTE SHEET (RULE 26)

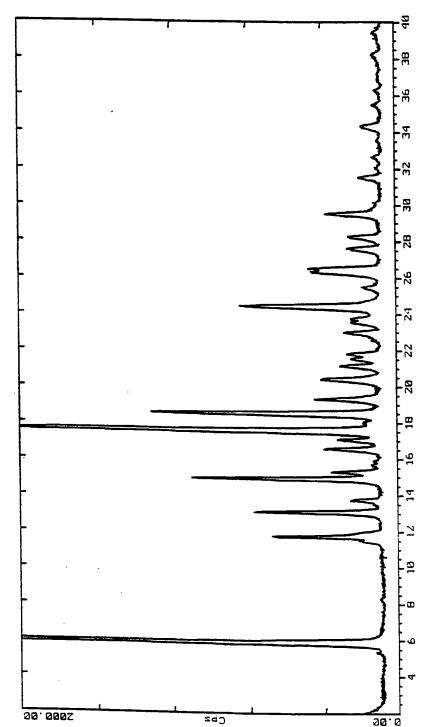


SUBSTITUTE SHEET (RULE 26)

Figur 5







INTERNATIONAL SEARCH REPORT

Inte .onal Application No

		1 '	C1/L1 30/	04473
A. CLASSI IPC 6	FICATION OF SUBJECT MATTER C07D209/88 A61K31/40			
According to	o International Patent Classification(IPC) or to both national classifica	ation and IPC		. •
	SEARCHED	Morrana II C		
Minimum ad IPC 6	ocumentation searched (classification system followed by classification $C07D$	n symbols)		
Documentar	tion searched other than minimumdocumentation to the extent that st	uch documents are included	in the fields sea	rched
Electronic d	ata base consulted during the international search (name of data ba	se and, where practical, sea	arch terms used)	
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the rele	evant passages		Relevant to claim No.
X	EP 0 127 099 A (BOEHRINGER MANNHES December 1984 cited in the application see claims; examples 7,8	EIM)		1-7
Α	DE 28 15 926 A (BOEHRINGER MANNHE 31 October 1979 cited in the application see claims; example 2	EIM)		1-7
A	G. Z. FEUERSTEIN ET. AL.: "carve update III. Rationale for use in congestive heart failure." DRUGS TODAY, vol. 31F, September 1995, pages XP002039368 see whole document			1-7
<u> </u>	her documents are listed in the continuation of box C.	X Patent family men	nbers are listed i	n annex.
"A" docume consid "E" earlier of filing d "L" docume which citation "O" docume	ant defining the general state of the art which is not lered to be of particular relevance document but published on or after the international late international late into the published on the priority claim(s) or is cited to establish the publicationdate of another in or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means	"Y" document of particular	ot in conflict with the principle or the relevance; the call novel or cannot step when the domelevance; the call to involve an involve an involve and display with one or model.	the application but soory underlying the laimed invention be considered to cument is taken alone laimed invention rentive step when the re other such docu-
"P" docume later th	ent published prior to the international filing date but nan the priority date claimed	in the art. "&" document member of	Ū	•
Date of the	actual completion of theinternational search	Date of mailing of the	international sea	rch report
1	5 October 1998	02/11/199	8	
Name and n	nailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer		
	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Helps, I		

INTERNATIONAL SEARCH REPORT

information on patent family members

Inte onal Application No PCT/EP 98/04475

Patent document cited in search report	t	Publication date	1	Patent family member(s)	Publication date
EP 0127099	Α	05-12-1984	DE	3319027 A	29-11-1984
2. 012,033	•••	00 12 1501	AU	551116 B	17-04-1986
			AU	2848084 A	29-11-1984
			CA	12 590 71 A	05-09-1989
			CA	1257279 C	11-07-1989
			DK	91393 A	06-08-1993
			DK	255184 A	27-11-1984
			FI	842046 A,B,	27-11-1984
			ΙE	57533 B	24-03-1993
			JΡ	1818634 C	27-01-1994
			JP	5027622 B	21-04-1993
			JP	59222473 A	14-12-1984
			JР	1917129 C	23-03-1995
			JP	5208957 A	20-08-1993
			JP	6013508 B	23-02-1994
			PT	78633 B	18 - 06-1986
			US	4824963 A	25 - 04-1989
			US	4985454 A	15 - 01-1991
			US	4697022 A	29-09-1987
			US	5071868 A	10-12-1991
DE 2815926	Α	18-10-1979	AT	375639 B	27-08-1984
			AU	52 29 75 B	08 - 07-1982
			AU	4582079 A	18-10-1979
			BG	61419 B	31-07-1997
			CA	1129416 A	10-08-1982
			CS	227007 B	16-04-1984
			CS	9104200 A	15-04-1992
			CS	227047 B	16-04-1984
		•	DD	143607 A	03-09-1980
			DK	141979 A,B,	14-10-1979
			EP	0004920 A	31-10-1979
			FI	791142 A,B,	14-10-1979
			HK	2385 A	18-01-1985
			JP	1023462 B	02-05-1989
			JP	1545837 C	28-02-1990
			JP	54157558 A	12-12-1979
			JP	63258416 A	25-10-1988
			LT Lu	112493 R	25-04-1994
			2 5 1	88320 A	04-05-1994

INTERNATIONAL SEARCH REPORT

g50 G

Information on patent family members

Inte :onal Application No PCT/EP 98/04475

Patent document cited in search report Publication date DE 2815926 A	MX US ZA	9203380 A 4503067 A 7901732 A	Publication date
DE 2815926 A	US	9203380 A 4503067 A	01-09-1992
		/901/32 A 	05-03-1985 28-05-1980